

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/883,150
Filing Date	June 15, 2001
First Named Inventor	Mimoun
Group Art Unit	2121
Examiner Name	
Attorney Docket Number	BUNGA-57762

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Notice of Missing Parts
Original Declaration
Return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

FULWIDER PATTON LEE & UTECHT, LLP

Signature

David S. Sarisky

Date

September 5, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **5 SEP. 2001**

Typed or printed name

David S. Sarisky

Signature

David S. Sarisky

Date

5 SEP. 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)<u>329.00</u>
--------------------------------	--------------------------

Complete if Known

Application Number	09/883,150
Filing Date	June 15, 2001
First Named Inventor	Mimoun
Examiner Name	
Group Art Unit	2121
Attorney Docket No.	BUNGA-57762

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	06-2425
Deposit Account Name	FULWIDER PATTON ...

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$5 million	Less than \$5 million
3. Liabilities	More than \$5 million	Less than \$5 million
4. Employees	More than 50	Less than 50
5. Industry	Not a non-profit	Non-profit
6. Reporting	Annual financial statements	Annual financial statements
7. Compliance	GAAP	GAAP
8. Disclosure	GAAP	GAAP
9. Reporting	Annual financial statements	Annual financial statements
10. Compliance	GAAP	GAAP
11. Disclosure	GAAP	GAAP
12. Reporting	Annual financial statements	Annual financial statements
13. Compliance	GAAP	GAAP
14. Disclosure	GAAP	GAAP
15. Reporting	Annual financial statements	Annual financial statements
16. Compliance	GAAP	GAAP
17. Disclosure	GAAP	GAAP
18. Reporting	Annual financial statements	Annual financial statements
19. Compliance	GAAP	GAAP
20. Disclosure	GAAP	GAAP
21. Reporting	Annual financial statements	Annual financial statements
22. Compliance	GAAP	GAAP
23. Disclosure	GAAP	GAAP
24. Reporting	Annual financial statements	Annual financial statements
25. Compliance	GAAP	GAAP
26. Disclosure	GAAP	GAAP
27. Reporting	Annual financial statements	Annual financial statements
28. Compliance	GAAP	GAAP
29. Disclosure	GAAP	GAAP
30. Reporting	Annual financial statements	Annual financial statements
31. Compliance	GAAP	GAAP
32. Disclosure	GAAP	GAAP
33. Reporting	Annual financial statements	Annual financial statements
34. Compliance	GAAP	GAAP
35. Disclosure	GAAP	GAAP
36. Reporting	Annual financial statements	Annual financial statements
37. Compliance	GAAP	GAAP
38. Disclosure	GAAP	GAAP
39. Reporting	Annual financial statements	Annual financial statements
40. Compliance	GAAP	GAAP
41. Disclosure	GAAP	GAAP
42. Reporting	Annual financial statements	Annual financial statements
43. Compliance	GAAP	GAAP
44. Disclosure	GAAP	GAAP
45. Reporting	Annual financial statements	Annual financial statements
46. Compliance	GAAP	GAAP
47. Disclosure	GAAP	GAAP
48. Reporting	Annual financial statements	Annual financial statements
49. Compliance	GAAP	GAAP
50. Disclosure	GAAP	GAAP
51. Reporting	Annual financial statements	Annual financial statements
52. Compliance	GAAP	GAAP
53. Disclosure	GAAP	GAAP
54. Reporting	Annual financial statements	Annual financial statements
55. Compliance	GAAP	GAAP
56. Disclosure	GAAP	GAAP
57. Reporting	Annual financial statements	Annual financial statements
58. Compliance	GAAP	GAAP
59. Disclosure	GAAP	GAAP
60. Reporting	Annual financial statements	Annual financial statements
61. Compliance	GAAP	GAAP
62. Disclosure	GAAP	GAAP
63. Reporting	Annual financial statements	Annual financial statements
64. Compliance	GAAP	GAAP
65. Disclosure	GAAP	GAAP
66. Reporting	Annual financial statements	Annual financial statements
67. Compliance	GAAP	GAAP
68. Disclosure	GAAP	GAAP
69. Reporting	Annual financial statements	Annual financial statements
70. Compliance	GAAP	GAAP
71. Disclosure	GAAP	GAAP
72. Reporting	Annual financial statements	Annual financial statements
73. Compliance	GAAP	GAAP
74. Disclosure	GAAP	GAAP
75. Reporting	Annual financial statements	Annual financial statements
76. Compliance	GAAP	GAAP
77. Disclosure	GAAP	GAAP
78. Reporting	Annual financial statements	Annual financial statements
79. Compliance	GAAP	GAAP
80. Disclosure	GAAP	GAAP
81. Reporting	Annual financial statements	Annual financial statements
82. Compliance	GAAP	GAAP
83. Disclosure	GAAP	GAAP
84. Reporting	Annual financial statements	Annual financial statements
85. Compliance	GAAP	GAAP
86. Disclosure	GAAP	GAAP
87. Reporting	Annual financial statements	Annual financial statements
88. Compliance	GAAP	GAAP
89. Disclosure	GAAP	GAAP
90. Reporting	Annual financial statements	Annual financial statements
91. Compliance	GAAP	GAAP
92. Disclosure	GAAP	GAAP
93. Reporting	Annual financial statements	Annual financial statements
94. Compliance	GAAP	GAAP
95. Disclosure	GAAP	GAAP
96. Reporting	Annual financial statements	Annual financial statements
97. Compliance	GAAP	GAAP
98. Disclosure	GAAP	GAAP
99. Reporting	Annual financial statements	Annual financial statements
100. Compliance	GAAP	GAAP
101. Disclosure	GAAP	GAAP
102. Reporting	Annual financial statements	Annual financial statements
103. Compliance	GAAP	GAAP
104. Disclosure		

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
----------	----------	----------	----------	-----------------

101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**355**

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	21	-20** = 1	9
Independent Claims		-3** =	
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
-------------	-------------	-------------	-------------

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 9
---------------------	---------------

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity		Small Entity		Fee Description	Fee Paid
	Fee (\$)	Fee Code	Fee (\$)	Fee Code		
105	130	205	65		Surcharge - late filing fee or oath	65
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1.17(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$) 65
---------------------	----------------

SUBMITTED BY

Name (Print/Type)	David S. Sarisky
-------------------	------------------

Registration No. (Attorney/Agent)	41,288
--------------------------------------	--------

Complete (if applicable)

Telephone	310/824-5555
-----------	--------------

Signature

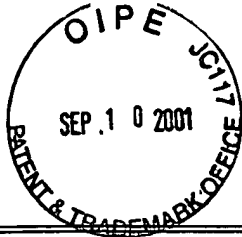
Bill Sauty

Date _____

5 SEP. 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

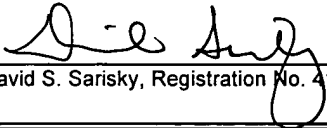
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 5, 2001.


David S. Sarisky, Registration No. 41,288

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: R. Mimoun

Serial No.: 09/883,150

Conf. No.: 8166

Filed: June 15, 2001

For: PROCEDURE FOR THE CREATION OF A
CONTROL INTERFACE FOR A STANDARD
APPLICATION IN A COMPUTER
NETWORK BROWSER

§ Examiner:

§

§ Art Unit: 2121

§

§ Docket No.: BUNGA-57762

§

§ Date: September 5, 2001

§

§

§

§

§

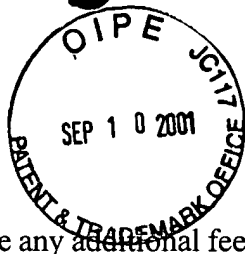
RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner For Patents
Washington, DC 20231-9998

Dear Sir:

In response to the Notice To File Missing Parts Of Non-Provisional Application, mailed August 10, 2001 (copy attached), applicant submits herewith an executed Declaration and Power of Attorney in the above identified application. Enclosed is our check in the amount of \$429.00 covering the \$355.00 filing fee, \$9.00 extra claims, and \$65.00 late surcharge in compliance with 37 C.F.R. 1.16(e).

Art Unit: 2121
09/883,150

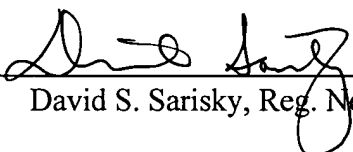


BUNGA-57762
(PUS00103P)

Please charge any additional fee or credit any overpayment to our Deposit Account No. 06-

2425. A **duplicate** copy of this paper is enclosed.

Respectfully submitted,
FULWIDER PATTON LEE & UTECHT, LLP

By _____
David S. Sarisky, Reg. No. 41,288

DSS:mv

Enc.: Executed Declaration and Power of Attorney
Check for \$429.00
Copy of Formalities Letter

Howard Hughes Center
6060 Center Drive, 10th Floor
Los Angeles, California 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696

[215226.1]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,150	06/15/2001	Ralf Mimoun	BUNGA-57762

CONFIRMATION NO. 8166

24201
FULWIDER PATTON LEE & UTECHT, LLP
HOWARD HUGHES CENTER
6060 CENTER DRIVE
TENTH FLOOR
LOS ANGELES, CA 90045

FORMALITIES LETTER



OC000000006412044

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$18.
 ▪ \$18 for 1 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 858.**

09/13/2001 BNGUYEN1 00000132 09883150

01 FC:201	355.00 OP
02 FC:203	9.00 OP
03 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE